



ONR SBIR/STTR Program Award Structure

Project Feasibility

Technology Development and Prototype Demonstration

Prototype Testing & Evaluation Technology Demo & Validation

Commercialization Transition

Phase I

Phase I Base	Phase I Option
<ul style="list-style-type: none"> 6 Months (SBIR) 7 Months (STTR) \$80K 	<ul style="list-style-type: none"> 6 Months \$70K
FFP Contract	
NTE \$150K	

Phase II

Phase II Base	Phase II Option 1	Phase II Option 2
<ul style="list-style-type: none"> 24 Months ≤ \$750K 	<ul style="list-style-type: none"> 9 Months ≤ \$250K TTP required SBIR PM/PO review for Option 2 at exit 	<ul style="list-style-type: none"> ~12-18 Months ≤ \$1M total 1:1 cost match ≤ \$500K SBIR ≤ \$500K match Updated TTP required* ≥ to TRL 4 at exit
CPFF Contract		
NTE \$1.5M SBIR/STTR Funding		

Subsequent Phase II

Goal is to accelerate transition; driven by Acquisition requirements and a clear transition path
<ul style="list-style-type: none"> Up to 24 months 1:1 cost match Signed TTA may be required * Minimum TRL 4 at entry
CPFF Contract
NTE \$1.5M SBIR/STTR Funding on Contract; Non-SBIR has unlimited ceiling

Phase III

Transition to Acquisition Program
<ul style="list-style-type: none"> Non-SBIR/STTR \$ (Private sector or Non-SBIR Gov. \$) Unlimited POP SBC Extension of Data Rights
Contract Type Varies Phase III contracts may be awarded without competition after Phase I/II
No limit on funding (Just Non-SBIR/STTR)

* TTA required when sum of phase II SBIR funding ≥ \$1.5M

NTE \$3,150,000 Total SBIR /STTR Funding

Phase III – can occur anytime after Phase I and is funded with non-SBIR/STTR\$

TRL: 0 - 3

2 - 5

4 - 7

6 - 9